1023 TUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Fonkwe, et al.

Serial No.: 10/051,201 Examiner: Ganapathy Krishnan

Filed: January 18, 2002 Art Unit: 1623

Confirmation No.: 7237

For: NON-GELATIN CAPSULE SHELL FORMULATION

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. 1.56, 1.97 and 1.98, the Applicant requests consideration of the attached additional item of information.

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO 1449 (modified).

It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO 1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. 102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusions regarding the relevance of the cited information.

The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 09-0528.

An early and favorable action is hereby requested.

Respectfully submitted,

Jack B. Hicks

Registration No. 34,180

Womble Carlyle Sandridge & Rice, PLLC

P.O. Box 7037

Atlanta, GA 30357-0037

Tel: 336-574-8050 Fax: 336-574-4513

Dated: 11 - 19 - 04 Docket No. 4700-083 (467.4)

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS DOCUMENT IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL, IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450,

ALEXANDRIA, VA 22313-1450, ON 11-19-04

(Date of Deposit)

11-19-04

| FORM PTO 1449 | | U.S. DEPARTMENT OF COMMERCE | | | | ATTY DKT NO. | | SERIAL NO. | | |
|---------------|---------|-----------------------------|----------------|-----------------------------|------------------|--------------|------------|---------------|--------------|--|
| PE VC | | PATENT AND TRADEMARK OFFICE | | | 4700-083 (467.4) | | | 10/051,201 | | |
| | anh w | | | | APPLIC | | | | | |
| 10 | James E | Į. | | | Linus G | . Fonkwe e | et al. | | | |
| 1 1 1 2 E | | NFORMATION DISCLOSURE | | | FILING | DATE | GF | GROUP | | |
| 1 40 | . E | STATEMENT BY APPLICANT | | | January 18, 2002 | | 1615 | | | |
| PATENT | & TRADE | | U.S. | PATENT DOCUMENTS | S | | | | | |
| EXAMINER | | DOCUMENT | DATE | NAME | | CL. | SUBC | L. FILI | NG DATE | |
| INITIAL | | NO. | | | | | | | APPROP. | |
| | CC | 5,962,053 | 10/1999 | Merritt, II | | 426 | 93 | | | |
| | DD | 6,331,205 | 12/2001 | Paris et al. | · · · · · | 106 | 205.9 | | | |
| | EE | 6,340,473 | 1/2002 | Tanner et al. | | 424 | 451 6/30/2 | | /2000 | |
| | FF | 6,375,981 | 4/2002 | Gilleland et al. | | 424 | 452 | 6/1/2 | | |
| | GG | 6,517,865 | 2/2003 | Cade et al. | | 424 | 451 | | | |
| | НН | 6,528,088 | 3/2003 | Gilleland et al. | | 424 | 451 | - | | |
| | II | 6,582,727 | 6/2003 | Tanner et al. | | 424 | 451 | | | |
| | 11 | 6,745,546 | 6/2004 | Tanner et al. | | 53 | 560 | | | |
| • | KK | 6,790,495 | 9/2004 | Tomka et al. | | 428 | 35.2 | | | |
| | LL | US 2002/ | 10/2002 | Macquarrie | | 426 | 250 | | | |
| = | | 0155200 | 10,2002 | Macquarre | | 120 | 230 | | | |
| | | DOCUMENT NO. | FOREIC DATE | GN PATENT DOCUME COUNTRY | NTS | CL. | SUBCL | TRANS | SLATION | |
| | | 110. | | | | | • | YES | NO | |
| | MM | EP 1,216,680 | 6/2002 | Europe | | | | X | | |
| | NN | EP 1,258,242 | 11/2002 | Europe | | | | X | | |
| | 00 | EP 1,103,254 | 5/2001 | Europe | | | | $\frac{1}{x}$ | | |
| | PP | WO 02/053088 | 7/2002 | WIPO | | | | $\frac{1}{x}$ | | |
| | QQ | WO/ 02/07711 | 1/2002 | WIPO | | | | X | | |
| | RR | WO/ 02/49572 | 6/2002 | WIPO | | | | X | | |
| OTHER DOC | UMENT | S (Incl. Author, Tit | | ertinent pages, etc.) | | | | | | |
| | | <u> </u> | ** | | | | | | | |
| | | | | | ··· | | | | | |
| | | <u></u> | | | | | | | | |
| EXAMINER | | | DATE | TE CONSIDERED | | | | | | |
| | | | | | | | | | | |

(Form PTO-1449)

| | 18th | | | | | | | | |
|--|-------------------|-------------------------------|--|-------------------------------|--------------------|------------|---------|--------------|---------------------------------------|
| FORM PTO-1 | 449 | & TRO DEPARTME | ATTY DKT NO. | | SERIAL NO. | | | | |
| FORM PTO-1449 PATENT AND TRADEMARK OFFICE | | | | | 4700-083 (467. | 10/051,201 | | | |
| | | | | APPLICANT | | | | | |
| 1 | | | Linus G. Fonkwe et al. | | | | | | |
| | FILING DATE GROUP | | | | | | | | |
| | | INFORMATION D STATEMENT BY | | | January 18, 200 | | 1615 | | |
| | | | | | 1 | | | | |
| | | | U.S. | PATENT DOCUMENTS | } | | | | |
| EXAMINER | | DOCUMENT | DATE | NAME | CL | . St | JBCL | , FILI | NG DATE |
| INITIAL | | | | | | | | APPROP. | |
| | SS | US 2002/ | 12/2002 | Jones | 424 | 452 | 2 | | |
| | | 0187185 | | | '-' | | • | 1 | |
| | TT | US 2003/ | 7/2002 | Von Wendorff | 370 | 210 | 5 | | |
| | | 0085487 | | l on wondon | 13,0 | | , | | |
| | บบ | US 2004/ | 4/2004 | Stolz | 53 | 260 | | | |
| | | 0060258 | 4/2004 | Stolz | 33 | 200 | J. 1 | | |
| · | VV | US 2004/ | 4/2004 | Peter et al. | 425 | 133 | 5 | | |
| | ' ' | 0071808 | 4/2004 | reter et al. | 423 | 133 |).3 | | |
| | | 0071808 | | | | | | | |
| | | | - | | | | | | |
| . . | | | | | | | | | |
| | | | | | | | | | · · · · · · · · · · · · · · · · · · · |
| | | | <u> </u> | | | | | | |
| | | | <u> </u> | | | | | | |
| | | <u> </u> | | | | | | | |
| | | | EODEI | | rmo. | | | | |
| | | DOCUMENT | | GN PATENT DOCUMEN | | 1 | | | |
| | | DOCUMENT | DATE | COUNTRY | CL. | SUB | CL | TRANSLATION | |
| | | NO. | | | | . | - 1 | | |
| | 11777 | 7770 / 00 /00000 | 0.40000 | | | _ | | YES | NO |
| | ww | WO/ 03/009832 | 2/2003 | WIPO | | | | X | |
| | | | | | | | | | |
| | . | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | <u> </u> | | | | | | |
| OFFICE NO. | | ~ ~ | | | | | | | |
| OTHER DOC | UMENT | S (Incl. Author, Tit | tle, Date, Pe | ertinent pages, etc.) | | | | | |
| | _ | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | - ········ | | | | |
| | | | | | | | | | |
| EXAMINER | EXAMINER DATE | | | | | | | | |
| | | | | | | | | | |
| EXAMINER: I | nitial if ci | itation considered w | hether or no | ot citation is in conformance | e with MPFP 600 | · draw li- | ne the | ough citat | tion if not |
| in conformance | and not | considered. Include | copy of this | form with next communication | ation to applicant | , uiaw III | ic time | ough chai | 1011 11 1101 |

(Form PTO-1449)